APPLICATION NO. | ISSUE DATE | PATENT NO. | ATTORNEY DOCKET NO. | CONFIRMATION NO.
12/404,355      | 05/01/2012 | 8166929    | 1641

Manousos Pattakos
Lampraki 406
PC 18452 GR
Nikea Piraeus, 18452
GREECE

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

**Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**
(application filed on or after May 29, 2000)

The Patent Term Adjustment is 688 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Manousos Pattakos, Nikea Piraeus, GREECE;
Chrysavgi Pattakou, Acharnai Athens, GREECE;
Emmanouel Pattakos, Nikea Piraeus, GREECE;
Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-3885

Complete and send this form, together with applicable fee(s), to: Mail

Note: A certificate of mailing can only be used for domestic mailings of the
Fees Transmittal. This certificate cannot be used for any other accompanying
papers. Each additional paper, such as an assignment or formal change, must
have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission
I hereby certify that this fee(s) Transmittal is being deposited with the United
States Postal Service with sufficient postage for first-class mail in an envelope
addressed to the Mail Stop ISSUE FEE above, or being facsimile
transmitted to the USPTO (571)-273-3885, on the date indicated below.

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where
appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as
indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address, and/or (b) indicating a separate "FEE ADDRESS" for
maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Manousos Pattakos
Lampraki 406
PC 18452 GR
Nikaea Piraeus, 18452
GREECE

APPLICATION NO. 12/404,355
FILING DATE 03/19/2009
FIRST NAMED INVENTOR Manousos Pattakos
ATTORNEY DOCKET NO. 1641
CONFIRMATION NO.

T. E OF INVENTION: VARIABLE COMPRESSION RATIO ENGINE

App. No. 12/404,355 "VARIABLE COMPRESSION RATIO ENGINE"

APPLN. TYPE nonprovisional
SMALL ENTITY YES
ISSUE FEE DUE $300
PUBLICATION FEE DUE $0
PREV. PAID ISSUANCE FEE $0
TOTAL FEE(S) DUE $300
DATE DUE 06/15/2012

EXAMINER Kamen, Noah P

CLASS-SUBCLASS
3783 123-0400AA

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.353)
☐ Change of correspondence address (or Change of Correspondence
Address Form PTOL/06/423) attached.
☐ "Fee Address" indication (or "Fee Address" indication form
PTOL/06/423; Rev 03/02 or more recent) attached. Use of a Customer
Number is required.

2. For printing on the patent front:
☐ The names of up to 3 registered patent attorneys
or agents OR, alternatively,
☐ The name of a single firm (having as a member a
registered patent attorney or agent) and the names of up to
2 registered patent attorneys or agents. If no name is
listed, no name will be printed.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

Please NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for
recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent):
☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:
☐ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies

4b. Payment of Fee(s): (Please first repay any previously paid issue fee shown above)
☐ Payment by check enclosed.
☐ Payment by credit card, Form PTOL-2038 is attached.
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any
overpayment, to Deposit Account Number ______________________ (enclose a copy of this form).

5. Change in Entity Status (from status indicated above)
☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27(a)(3).
☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(a)(4).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in
interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Manousos Pattakos

Typed or printed name

Date: March 21/2012

Registration No.

This collection of information is required by 37 CFR 3.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process)
an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and
submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete
this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O.
Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450,
Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
PART B - FEES TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

or Fax
(571) 273-8285

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notification.

CURRENT CORRESPONDENCE ADDRESS (Not: Use Block 1 for any change of address)

7590
Manousos Pattakos
Lampraki 406
PC 18452 GR
Nikoa Piraeus, 18452
GREECE

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO.
12/404,355 03/16/2009 Manousos Pattakos 1641

T. E OF INVENTION: VARIABLE COMPRESSION RATIO ENGINE

App. No. 12/404,355 "VARIABLE COMPRESSION RATIO ENGINE"

APPLN. TYP. SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE
provisional YES $870 $300 0 $1170 06/15/2012

EXAMINER ART UNIT CLASS-SUBCLASS
KAMEN, NOAH P 3783 123-0480A

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
   □ Change of correspondence address (or Change of Correspondence Address form PTO/SB/123) attached.
   □ Fee Address indication (or Fee Address Indication form PTO/SB/47, Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list:
   (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
   (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent):
□ Individual □ Corporation or other private group entity □ Government

4a. The following fee(s) are submitted:
   □ Issue Fee
   □ Publication Fee (No small entity discount permitted)
   □ Advance Order - # of Copies

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)
   □ A check is enclosed.
   □ Payment by credit card. Form PTO-2038 is attached.
   □ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)
   □ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
   □ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature
Manousos Pattakos

Date March 21/2012

Registration No.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NOTICE OF ALLOWANCE AND FEE(S) DUE

EXAMINER

KAMEN, NOAH P

ART UNIT PAPER NUMBER

3783

DATE MAILED: 03/15/2012

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO.

12/404,355 03/16/2009 Manousos Pattakos 1641

TITLE OF INVENTION: VARIABLE COMPRESSION RATIO ENGINE

APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE

nonprovisional YES $870 $300 $0 $1170 06/15/2012

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.
PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail
Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax
(571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)
7590 03/15/2012
Manousos Pattakos
Lampriki 406
PC 18452 GR
Nikola Piraeus, 18452
GREECE

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Date)

APPLICATION NO. 12/404,355
FILING DATE 03/16/2009
FIRST NAMED INVENTOR Manousos Pattakos
ATTORNEY DOCKET NO. 1641
CONFIRMATION NO.

TITLE OF INVENTION: VARIABLE COMPRESSION RATIO ENGINE

APPLN. TYPE nonprovisional
SMALL ENTITY YES
ISSUE FEE DUE $870
PUBLICATION FEE DUE $300
PREV. PAID ISSUE FEE $0
TOTAL FEES DUE $1170
DATE DUE 06/15/2012

EXAMINER KAMEN, NOAH P
ART UNIT 3783
CLASS-SUBCLASS 123-0480AA

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
   - Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
   - "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list:
   - (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
   - (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)
   PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.
   (A) NAME OF ASSIGNEE
   (B) RESIDENCE: (CITY and STATE OR COUNTRY)
   Please check the appropriate assignee category or categories (will not be printed on the patent):
   - □ Individual
   - □ Corporation or other private group entity
   - □ Government

4a. The following fee(s) is/are submitted:
   - □ Issue Fee
   - □ Publication Fee (No small entity discount permitted)
   - □ Advance Order - # of Copies

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)
   - □ A check is enclosed.
   - □ Payment by credit card. Form PTO-2038 is attached.
   - □ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)
   □ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
   □ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature
Date

Typed or printed name
Registration No.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 642 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 642 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.
Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.
**Notice of Allowability**

<table>
<thead>
<tr>
<th>Application No.</th>
<th>Applicant(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>12/404,355</td>
<td>PATTAKOS ET AL.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Examiner</th>
<th>Art Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>NOAH KAMEN</td>
<td>3783</td>
</tr>
</tbody>
</table>

---

**The MAILING DATE of this communication appears on the cover sheet with the correspondence address**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-65) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☑ This communication is responsive to the amendment filed 2/22/12.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on ______; the restriction requirement and election have been incorporated into this action.

3. ☐ The allowed claim(s) is/are 1-10.

4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
   a) ☑ All  
   b) ☑ Some*  
   c) ☑ None  
   d) ☑ None
   
   1. ☑ Certified copies of the priority documents have been received.
   2. ☑ Certified copies of the priority documents have been received in Application No. ______.
   3. ☑ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

   * Certified copies not received: ______.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.

6. ☑ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
   a) ☑ including changes required by the Notice of Draftsman's Patent Drawing Review (PTO-948) attached
      1) ☑ hereto or 2) ☑ to Paper No./Mail Date ______.
   b) ☑ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date ______.

   Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

7. ☑ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

---

**Attachment(s)**

1. ☑ Notice of References Cited (PTO-892)
3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date ______
4. ☑ Examiner's Comment Regarding Requirement for Deposit of Biological Material
6. ☑ Interview Summary (PTO-413), Paper No./Mail Date ______
7. ☑ Examiner's Amendment/Comment
8. ☑ Examiner's Statement of Reasons for Allowance
9. ☑ Other ______.

/Noah Kamen/
Primary Examiner, Art Unit 3783
** BIB DATA SHEET **

** CONFIRMATION NO. 1641 **

<table>
<thead>
<tr>
<th>SERIAL NUMBER</th>
<th>FILING or 371(c) DATE</th>
<th>CLASS</th>
<th>GROUP ART UNIT</th>
<th>ATTORNEY DOCKET NO.</th>
</tr>
</thead>
<tbody>
<tr>
<td>12/404,355</td>
<td>03/16/2009</td>
<td>123</td>
<td>3783</td>
<td></td>
</tr>
</tbody>
</table>

** APPLICANTS **

Manousos Pattakos, Nikea Piraeus, GREECE;
Chrysavgi Pattakou, Aharnai Athens, GREECE;
Emmanouel Pattakos, Nikea Piraeus, GREECE;

** CONTINUING DATA ***********************

** FOREIGN APPLICATIONS ******************

** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY **

03/27/2009

<table>
<thead>
<tr>
<th>FOREIGN PRIORITY claimed</th>
<th>35 USC 119(a-d) conditions met</th>
<th>STATE OR COUNTRY</th>
<th>SHEETS DRAWINGS</th>
<th>TOTAL CLAIMS</th>
<th>INDEPENDENT CLAIMS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>No</td>
<td>GREECE</td>
<td>14</td>
<td>10</td>
<td>3</td>
</tr>
</tbody>
</table>

** ADDRESS **

Manousos Pattakos
Lampaki 406
PC 18452 GR
Nikea Piraeus, 18452
GREECE

** TITLE **

VARIABLE COMPRESSION RATIO ENGINE

** FILING FEE RECEIVED **

462

FEES: Authority has been given in Paper No. _________ to charge/credit DEPOSIT ACCOUNT No. _________ for following:

- All Fees
- 1.16 Fees (Filing)
- 1.17 Fees (Processing Ext. of time)
- 1.18 Fees (Issue)
- Other _______________
- Credit
EAST Search History

EAST Search History (Prior Art)

<This search history is empty>

EAST Search History (Interference)

<table>
<thead>
<tr>
<th>Ref #</th>
<th>Hits</th>
<th>Search Query</th>
<th>DBs</th>
<th>Default Operator</th>
<th>Plurals</th>
<th>Time Stamp</th>
</tr>
</thead>
<tbody>
<tr>
<td>L1</td>
<td>2</td>
<td>(compression and ratio and slid$4 and cylinder and piston and eccentric$9 and rod and head).clm.</td>
<td>USPAT; UPAD</td>
<td>OR</td>
<td>ON</td>
<td>2012/02/29 09:10</td>
</tr>
</tbody>
</table>

2/29/2012 9:11:04 AM
C:\Documents and Settings\NKamen\My Documents\EAST\Workspaces\default51811.wsp
### Search Notes

<table>
<thead>
<tr>
<th>Application/Control No.</th>
<th>Applicant(s)/Patent Under Reexamination</th>
</tr>
</thead>
<tbody>
<tr>
<td>12404355</td>
<td>PATTAKOS ET AL.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Examiner</th>
<th>Art Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>NOAH KAMEN</td>
<td>3783</td>
</tr>
</tbody>
</table>

### SEARCHED

<table>
<thead>
<tr>
<th>Class</th>
<th>Subclass</th>
<th>Date</th>
<th>Examiner</th>
</tr>
</thead>
<tbody>
<tr>
<td>123</td>
<td>48R, 48C</td>
<td>8/31/2011</td>
<td>NK</td>
</tr>
<tr>
<td></td>
<td>78R, 78C</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>search</td>
<td>2/29/2012</td>
<td>NK</td>
</tr>
<tr>
<td>updated</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### SEARCH NOTES

<table>
<thead>
<tr>
<th>Search Notes</th>
<th>Date</th>
<th>Examiner</th>
</tr>
</thead>
<tbody>
<tr>
<td>EAST</td>
<td>8/31/2011</td>
<td>NK</td>
</tr>
<tr>
<td>EAST</td>
<td>2/29/2012</td>
<td>NK</td>
</tr>
</tbody>
</table>

### INTERFERENCE SEARCH

<table>
<thead>
<tr>
<th>Class</th>
<th>Subclass</th>
<th>Date</th>
<th>Examiner</th>
</tr>
</thead>
<tbody>
<tr>
<td>see</td>
<td>EAST</td>
<td>8/31/2011</td>
<td>NK</td>
</tr>
<tr>
<td>see</td>
<td>EAST</td>
<td>2/29/2012</td>
<td>NK</td>
</tr>
</tbody>
</table>
February 22, 2012

Application: 12/404,355
Title: VARIABLE COMPRESSION RATIO ENGINE
Applicant: PATTAKOS Manousos et al.
Examiner: Noah Kamen
Art unit: 3783

To the USPTO
Mr Noah Kamen, primary examiner

This is the response to your letter: "Office Action Summary", mailed on 02/17/2012.

According your instructions:

Drawings
The drawings without numbered elements (Figures 1, 3, 4, 6, 7, 10, 11, 12, 14 and 15) are amended to include numbered elements.

Specification
- "Sectional headings" are added.
- The use of "means", like "slider means" or "connecting means", is not used in the amended specification.
- A few grammatical/editorial corrections have been applied.
- The amended specification contains no new matter.

Attached documents:
The amended drawings.
The amended specification with the corrections.
The "clean copy" of the amended specification.

Thank you
Manousos Pattakos
1st applicant and inventor
Application: 12/404,355
Title: VARIABLE COMPRESSION RATIO ENGINE
Applicant: PATTAKOS Manousos et al.
Examiner: Noah Kamen
Art unit: 3783

To the USPTO
Mr Noah Kamen, primary examiner

This is the response to your letter: "Office Action Summary", mailed on 02/17/2012.

According your instructions:

Drawings
The drawings without numbered elements (Figures 1, 3, 4, 6, 7, 10, 11, 12, 14 and 15) are amended to include numbered elements.

Specification
- "Sectional headings" are added.
- The use of "means", like "slider means" or "connecting means", is not used in the amended specification.
- A few grammatical/editorial corrections have been applied.
- The amended specification contains no new matter.

Attached documents:
The amended drawings.
The amended specification with the corrections.
The "clean copy" of the amended specification.

Thank you
Manousos Pattakos
1st applicant and inventor
TITLE OF THE INVENTION.
VARIABLE COMPRESSION RATIO ENGINE

BACKGROUND OF THE INVENTION
In the prior art, like SAAB's PCT/SE91/818 and Toyota's US7,047,917, a pair of connecting shafts is arranged at the two sides of the cylinder block, laterally, to connect the upper and lower sections of the engine. The rotation of a control shaft displaces the cylinder head relative to the crankcase to vary the compression ratio. The inevitable long distance between the two connecting shafts generates heavy bending loads, flexing and noise, making the reinforcement of the two sections inevitable.

BRIEF SUMMARY OF THE INVENTION
In this patent, a variable compression ratio internal combustion engine comprises a base section and a movable section slidably fitted to each other.
The movable section comprises a cylinder head and a cylinder block.
The base section comprises a crankcase, or a casing in general.
There are projections of the crankcase into the cylinder head to provide supports for receiving the forces applied to the cylinder head from the high-pressure gas into the combustion chamber.
These projections comprise pillars starting near the crankshaft base bearings and entering, through proper openings, into the cylinder head, they also comprise bridges firmly interconnecting the free ends of the pillars to strengthen the structure and to provide supports to a control shaft.
In the conventional cylinder block the narrowing between neighboring cylinders is an available free area for the pillars. Bearing the cylinder head, the pillars are loaded purely in tension and connect, as directly as desirable, the tightening screws of the crankshaft bearing caps to the tightening screws of the bridges. Limited to the bridges, the bending loads are no heavier than those in the crankshaft bearing caps, i.e. there is nothing special regarding the size or the design of the bridges. The control shaft has eccentric pins or cams or toothed gears etc. The crankcase bears the control shaft and the control shaft bears the cylinder head, longitudinally. The architecture of the crankcase projections fits the direction of the gas pressure forces on the cylinder head, resulting in pure tensile loading of the pillars. There are sliders on the cylinder block, at the height where the piston skirts thrust the cylinder walls. These sliders thrust on respective crankcase sliders in order to pass the thrust loads of the cylinder block onto the crankcase. These loads are several times weaker than those on the cylinder head. The pillars of the crankcase projections can serve as the crankcase sliders, too. The bridging of the free ends of the pillars and the small distance of the thrust loads from the crankcase side of the pillars improve the thrust load capacity of the structure. The cylinder block, being free from transferring to the crankcase the forces applied on the cylinder head, becomes lighter and distortion free. The forces tending to separate the cylinder head from the cylinder are small enabling the reliable sealing of the combustion chamber. The union of the cylinder head with the cylinder block in a single piece is a further option, better as regards
the cooling, the simplicity, the robustness, the cost and the reliability.

The control shaft is pivotally mounted either on the cylinder head or on the crankcase projections. The control shaft supported on the crankcase projections directly, or by connecting rods sliders and the likes, receives the forces applied on the cylinder head and supports the cylinder head. The angular displacement of the control shaft varies the compression ratio by displacing the cylinder head relative to the crankshaft.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S).

Figs 1 to 9 show a first embodiment.

Fig 1 shows the engine partly sliced.

Fig 2 shows the base section and the movable section separated, with the control shaft between them.

Fig 3 shows the movable section disassembled and the bearings for mounting the control shaft.

Fig 4 shows the control shaft and the bridges of the pillars.

Fig 5 shows the crankcase, the projections of the crankcase and the parts for the connection of the crankcase to the control shaft.

Fig 6 shows the control shaft, the lower bearings of the crankshaft, the bridges of the pillars and the straight way for passing the loads.

Fig 7 shows the robust, yet compact and light, structure of the crankcase.

Fig 8 shows sections of the engine.

Fig 9 shows the section D-D of Fig 8 exploded.

Figs 10 to 13 show a second embodiment.
Fig 10 shows the engine partly sliced, a bearing cup from the other side, the timing belt and the sprockets.

Fig 11 shows the crankshaft, the connecting rods and the pistons of the engine, the control shaft, the bridges and the direct passing of the loads from the cylinder head, through the pillars, to the lower bearings of the crankshaft.

Fig 12 shows at top the bridges and the control shaft, at middle the cylinder head and at bottom the crankcase with its pillars.

Fig 13 shows details of the control shaft, the bridges, the sliders and the roller bearings.

Fig 14 shows a variation of the second embodiment.

Fig 15 shows another variation of the second embodiment.

DETAILED DESCRIPTION OF THE INVENTION.

In a first embodiment, on top of the cylinder head 9 of the movable section 7, a control shaft 13 is pivotally mounted in the space between the two camshafts, leaving space for a centrally located spark in the combustion chamber 12.

The crankcase 2, of the base section 1, has projections 6 comprising pillars and bridges.

The control shaft has eccentric pins 14.

The connecting rods 15 are pivotally mounted at one end on said eccentric pins 14 and at the other end on the crankcase projections 6.

The movable section 7 is slidably fitted on the crankcase 2 by means of the cylinder sliders 10 and the crankcase sliders 5. The thrust loads of the cylinders pass through the cylinder sliders 10 to the crankcase 2.
The angular displacement of the control shaft displaces the cylinder head, relative to the crankshaft, varying the compression ratio. The control shaft receives the forces applied to the cylinder head and passes them, through the connecting rods 15, to the bridges, then to the pillars and finally to the lower crankcase. Compared to the gas pressure force carried by the connecting rod to the crankshaft 4, each pillar carries less than a quarter and each short connecting rod 15 carries less than half.

In a second embodiment, the control shaft 13 is pivotally mounted on the cylinder head by means of needle roller bearings and has eccentric pins 14. First sliders 16 are pivotally mounted on the eccentric pins 14, they are also slidably fitted into second sliders 17 formed in the bridges of the free ends of the pillars. The angular displacement of the control shaft 13 displaces the cylinder head 9 relative to the crankcase varying the compression ratio. All heavy loaded pivot joints and sliders can be of the needle roller bearing type to avoid lubrication issues.

The geometry of the arrangement of the timing belt shown in Fig 10 can keep substantially unchanged the timing between the crankshaft and the camshafts. The roller just below the two camshaft sprockets has a shaft secured on the base section. The other roller, near the crankshaft, keeps the timing belt tight.

In a variation of the second embodiment, Fig 14, cams have replaced the eccentric pins of the control shaft. Under the camming action of said cams on the pillar bridges, the cylinder head is displaced and the compression ratio varies.

In another variation of the second embodiment, Fig 15, toothed gears have replaced the eccentric pins of the control shaft. The toothed gears are meshed to rack gears formed on the bridges.
The proper design of the crankcase projections neither restricts the size of the intake and exhaust ports, as compared to the conventional engine, nor restricts the coolant passage areas along the cylinder head.

The sealing is easy, for instance by means of a rubber seal inserted into a groove formed in the crankcase and being in touch to a properly shaped surface around the cylinder head.

The angular displacement of the control shaft can be manual, mechanical, hydraulic, electrical etc. Knock sensors and feedback control enable HCCI operation.
Replacement Sheet

Fig 4
Fig 7
TITLE OF THE INVENTION.
VARIABLE COMPRESSION RATIO ENGINE

BACKGROUND OF THE INVENTION
In the prior art, like SAAB's PCT/SE91/818 and Toyota's US7,047,917, a pair of connecting shafts is arranged at the two sides of the cylinder block, laterally, to connect the upper and lower sections of the engine. The rotation of a control shaft displaces the cylinder head relative to the crankcase to vary the compression ratio. The inevitable long distance between the two connecting shafts generates heavy bending loads, flexing and noise, making the reinforcement of the two sections inevitable.

BRIEF SUMMARY OF THE INVENTION
In this patent, a variable compression ratio internal combustion engine comprises a base section and a movable section slidably fitted to each other.

The movable section comprises a cylinder head and a cylinder block.

The base section comprises a crankcase, or a casing in general. There are projections of the crankcase into the cylinder head to provide supports for receiving the forces applied to the cylinder head from the high-pressure gas into the combustion chamber. These projections comprise pillars starting near the crankshaft base bearings and entering, through proper openings, into the cylinder head, they also comprise bridges firmly interconnecting the free ends of the pillars to strengthen the structure and to provide supports to a control shaft.
In the conventional cylinder block the narrowing between neighboring cylinders is an available free area for the pillars. Bearing the cylinder head, the pillars are loaded purely in tension and connect, as directly as desirable, the tightening screws of the crankshaft bearing caps to the tightening screws of the bridges. Limited to the bridges, the bending loads are no heavier than those in the crankshaft bearing caps, i.e. there is nothing special regarding the size or the design of the bridges.

The control shaft has eccentric pins or cams or toothed gears etc. The crankcase bears the control shaft and the control shaft bears the cylinder head, longitudinally.

The architecture of the crankcase projections fits the direction of the gas pressure forces on the cylinder head, resulting in pure tensile loading of the pillars.

There are sliders on the cylinder block, at the height where the piston skirts thrust the cylinder walls. These sliders thrust on respective crankcase sliders in order to pass the thrust loads of the cylinder block onto the crankcase. These loads are several times weaker than those on the cylinder head. The pillars of the crankcase projections can serve as the crankcase sliders, too. The bridging of the free ends of the pillars and the small distance of the thrust loads from the crankcase side of the pillars enables the structure to withstand heavy thrust loads improve the thrust load capacity of the structure.

The cylinder block, being free from transferring to the crankcase the forces applied on the cylinder head, becomes lighter and distortion free. The forces tending to separate the cylinder head from the cylinder are small enabling the reliable sealing of the combustion chamber. The union of the cylinder head with the
cylinder block in a single piece is a further option, better as regards
the cooling, the simplicity, the robustness, the cost and the
reliability.

The control shaft is pivotally mounted either on the cylinder head
or on the crankcase projections. The control shaft supported on
the crankcase projection projections directly, or by connecting
means-like connecting rods or sliders or by connecting rods sliders
and the likes, receives the forces applied on the cylinder head and
supports the cylinder head. The angular displacement of the
control shaft varies the compression ratio by displacing the
cylinder head relative to the crankshaft.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE
DRAWING(S).

Figs 1 to 9 show a first embodiment.

Fig 1 shows the engine partly sliced.

Fig 2 shows the base section and the movable section separated,
with the control shaft between them.

Fig 3 shows the movable section disassembled and the bearings
for mounting the control shaft.

Fig 4 shows the control shaft and the bridges of the pillars.

Fig 5 shows the crankcase, the projections of the crankcase and
the parts for the connection of the crankcase to the control shaft.

Fig 6 shows the control shaft, the lower bearings of the crankshaft,
the bridges of the pillars and the straight way for passing the loads.

Fig 7 shows the robust, yet compact and light, structure of the
crankcase.

Fig 8 shows sections of the engine.

Fig 9 shows the section D-D of Fig 8 exploded.
Figs 10 to 13 show a second embodiment.

Fig 10 shows the engine partly sliced, a bearing cup from the other side, the timing belt and the sprockets.

Fig 11 shows the crankshaft, the connecting rods and the pistons of the engine, the control shaft, the bridges and the direct passing of the loads from the cylinder head, through the pillars, to the lower bearings of the crankshaft.

Fig 12 shows at top the bridges and the control shaft, at middle the cylinder head and at bottom the crankcase with its pillars.

Fig 13 shows details of the control shaft, the bridges, the sliders and the roller bearings.

Fig 14 shows a variation of the second embodiment.

Fig 15 shows another variation of the second embodiment.

**DETAILED DESCRIPTION OF THE INVENTION.**

In a first embodiment, on top of the cylinder head 9 of the movable section 7, a control shaft 13 is pivotally mounted in the space between the two camshafts, leaving area space for a centrally located spark in the combustion chamber 12.

The crankcase 2, of the base section 1, has projections 6 comprising pillars and bridges.

The control shaft has eccentric pins 14.

The connecting rods 15 are pivotally mounted at one end on said eccentric pins 14 and at the other end on the crankcase projections 6.

The movable section 7 is slidably fitted on the crankcase 2 by means of the cylinder sliders 10 and the crankcase sliders 5. The trust thrust loads of the cylinders pass through the cylinder sliders 10 to the crankcase 2.
The angular displacement of the control shaft displaces the cylinder head, relative to the crankshaft, varying the compression ratio. The control shaft receives the forces applied to the cylinder head and passes them, through the connecting rods 15, to the bridges, then to the pillars and finally to the lower crankcase. Compared to the gas pressure force carried by the connecting rod to the crankshaft 4, each pillar carries less than a quarter and each short connecting rod 15 carries less than half.

In a second embodiment, the control shaft 13 is pivotally mounted on the cylinder head by means of needle roller bearings and has eccentric pins 14. First slider means sliders 16 are pivotally mounted on the eccentric pins 14, they are also slidably fitted into second slider means sliders 17 formed in the bridges of the free ends of the pillars. The angular displacement of the control shaft 13 displaces the cylinder head 9 relative to the crankcase varying the compression ratio. All heavy loaded pivot joints and sliders can be of the needle roller bearing type to avoid lubrication issues.

The geometry of the arrangement of the timing belt shown in Fig 10 can keep substantially unchanged the timing between the crankshaft and the camshafts. The roller just below the two camshaft sprockets has a shaft secured on the base section. The other roller, near the crankshaft, keeps the timing belt tight.

In a variation of the second embodiment, Fig 14, cams have replaced the eccentric pins of the control shaft. Under the camming action of said cams on the pillar bridges, the cylinder head is displaced and the compression ratio varies.

In another variation of the second embodiment, Fig 15, toothed gears have replaced the eccentric pins of the control shaft. The toothed gears are meshed to rack gears formed on the bridges.
The proper design of the crankcase projections neither restricts the size of the intake and exhausts ports, as compared to the conventional engine, nor restricts the coolant passage areas along the cylinder head.

The sealing is easy, for instance by means of a rubber seal inserted into a groove formed in the crankcase and being in touch to a properly shaped surface around the cylinder head.

The angular displacement of the control shaft can be manual, mechanical, hydraulic, electrical etc. Knock sensors and feedback control enables enable HCCI operation.
<table>
<thead>
<tr>
<th>APPLICATION NO.</th>
<th>FILING DATE</th>
<th>FIRST NAMED INVENTOR</th>
<th>ATTORNEY DOCKET NO.</th>
<th>CONFIRMATION NO.</th>
</tr>
</thead>
<tbody>
<tr>
<td>12/404,355</td>
<td>03/16/2009</td>
<td>Manousos Pattakos</td>
<td></td>
<td>1641</td>
</tr>
<tr>
<td>7590</td>
<td>02/17/2012</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Manousos Pattakos
Lampraki 406
PC 18452 GR
Nika Piraeus, 18452
GREECE

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.
Office Action Summary

Application No. 12/404,355
Applicant(s) PATTAKOS ET AL.
Examiner NOAH KAMEN
Art Unit 3783

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 2 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply to the finalscaled up by 2.5 times:communication will result in ABANDONMENT of the application.

Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1) □ Responsive to communication(s) filed on _____.
2a) □ This action is FINAL. 2b) □ This action is non-final.
3) □ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.
4) ✄ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

5) ✄ Claim(s) 1-10 is/are pending in the application.
   5a) Of the above claim(s) _____ is/are withdrawn from consideration.
6) ✄ Claim(s) 1-10 is/are allowed.
7) □ Claim(s) _____ is/are rejected.
8) □ Claim(s) _____ is/are objected to.
9) □ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

10) ✄ The specification is objected to by the Examiner.
11) ✄ The drawing(s) filed on 16 March 2009 is/are: a) □ accepted or b) ✄ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
12) □ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

13) □ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
   a) □ All  b) ✄ Some  c) □ None of:
   1. □ Certified copies of the priority documents have been received.
   2. □ Certified copies of the priority documents have been received in Application No. _____.
   3. □ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

   * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ✄ Notice of References Cited (PTO-892)
2) ✄ Notice of Draftsperson’s Patent Drawing Review (PTO-948)
3) ✄ Information Disclosure Statement(s) (PTO/SB/08)
4) □ Interview Summary (PTO-413)
   Paper No(s)/Mail Date _______.
5) □ Notice of Informal Patent Application
6) □ Other: _______.

U.S. Patent and Trademark Office
PTOL-326 (Rev. 03-11)
DETAILED ACTION

Prosecution on the merits is closed in accordance with the practice under *Ex parte Quayle*, 25 USPQ 74, 453 O.G. 213, (Comm'r Pat. 1935).

A shortened statutory period for reply to this action is set to expire **TWO MONTHS** from the mailing date of this letter.

This application is in condition for allowance except for the following formal matters:

**Drawings**

The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they do not include numbered elements: see figures 1-7, 10-12, 14, and 15. Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either “Replacement Sheet” or “New Sheet” pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance. **NOTE**, the specification must also set forth numbered elements when discussing them with respect to a particular figure.

**Specification**

The following guidelines illustrate the preferred layout for the specification of a utility application. These guidelines are suggested for the applicant’s use.

**Arrangement of the Specification**

As provided in 37 CFR 1.77(b), the specification of a utility application should include the following sections in order. Each of the lettered items should appear in upper case, without
underlining or bold type, as a section heading. If no text follows the section heading, the phrase “Not Applicable” should follow the section heading:

(a) TITLE OF THE INVENTION.
(b) CROSS-REFERENCE TO RELATED APPLICATIONS.
(c) STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT.
(d) THE NAMES OF THE PARTIES TO A JOINT RESEARCH AGREEMENT.
(e) INCORPORATION-BY-REFERENCE OF MATERIAL SUBMITTED ON A COMPACT DISC.
(f) BACKGROUND OF THE INVENTION.
   (1) Field of the Invention.
   (2) Description of Related Art including information disclosed under 37 CFR 1.97 and 1.98.
(g) BRIEF SUMMARY OF THE INVENTION.
(h) BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S).
(i) DETAILED DESCRIPTION OF THE INVENTION.
(j) CLAIM OR CLAIMS (commencing on a separate sheet).
(k) ABSTRACT OF THE DISCLOSURE (commencing on a separate sheet).
(l) SEQUENCE LISTING (See MPEP § 2424 and 37 CFR 1.821-1.825. A “Sequence Listing” is required on paper if the application discloses a nucleotide or amino acid sequence as defined in 37 CFR 1.821(a) and if the required “Sequence Listing” is not submitted as an electronic document on compact disc).

Also, the specification must have the lines numbered by groups of 5; i.e. line 5, 10, 15, 20 etc. Paragraph indentations are missing.

The use of “means” should only be used in the claims, not the specification.

The specification could benefit from grammatical/editorial polishing; it is evident that English is not applicants’ first language.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to NOAH KAMEN whose telephone number is (571)272-4845. The examiner can normally be reached on M-Th 6:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner’s supervisor, Michael Cuff can be reached on 571 272 6778. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.
Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Noah Kamen
Primary Examiner
Art Unit 3783

/Noah Kamen/
Primary Examiner, Art Unit 3783
### U.S. PATENT DOCUMENTS

<table>
<thead>
<tr>
<th>Document Number</th>
<th>Date MM-YYYY</th>
<th>Name</th>
<th>Classification</th>
</tr>
</thead>
<tbody>
<tr>
<td>* A US-6,880,499</td>
<td>04-2005</td>
<td>Hoffmann et al.</td>
<td>123/48C</td>
</tr>
<tr>
<td>B</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>C</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>E</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>F</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>G</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>H</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>I</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>J</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>K</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>L</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>M</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### FOREIGN PATENT DOCUMENTS

<table>
<thead>
<tr>
<th>Document Number</th>
<th>Date MM-YYYY</th>
<th>Country</th>
<th>Name</th>
<th>Classification</th>
</tr>
</thead>
<tbody>
<tr>
<td>* N DE 4211589 A1</td>
<td>10-1993</td>
<td>Germany</td>
<td>ROSSMANN, MICHAEL</td>
<td></td>
</tr>
<tr>
<td>O</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>P</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Q</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>R</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>S</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>T</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### NON-PATENT DOCUMENTS

Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)

<p>| | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>* U</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>V</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>W</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.
Anmelder: Audi AG, 85057 Ingolstadt, DE

Erfinder: Rossmann, Michael, 8079 Buxheim, DE

Für die Beurteilung der Patentfähigkeit in Betracht zu ziehende Druckschriften:

DE 35 42 629 C2
DE 40 26 013 A1
DE 39 11 955 A1
DE 33 18 093 A1
DE 24 04 231 A1

Hubkolben-Brennkraftmaschine mit veränderbarer Verdichtung

Die Erfindung betrifft eine Hubkolben-Brennkraftmaschine mit veränderbarer Verdichtung, bei der ein Zylindergehäuse mit einem Zylinderkopf mit Gaswechselventilen verbunden ist, wobei das Zylindergehäuse in einem separaten Kurbelgehäuse axial verschiebbar geführt ist und eine Verstellvorrichtung vorgesehen ist, die von einem Steuergerät gesteuert die Verdichtungsänderung abhängig von der Last und/oder der Drehzahl der Brennkraftmaschine bewirkt (Fig. 1).
Die Erfindung betrifft eine Hubkolben-Brennkraftmaschine mit veränderbarer Verdichtung.

Um den Wirkungsgrad von Brennkraftmaschinen insbesondere im Teillastbereich zu verbessern, ist es bekannt, u. a. eine variable Verdichtung der Verbrennungsluft oder eines Brennstoff-Luftgemisches zu steuern. Dabei gibt es viele Vorschläge, die entweder die rotierenden und/oder oszillierenden Massen des Kurbeltriebs erhöhen oder verkomplizieren oder die ungünstige, zerklüftete Brennräume (Nebenkolben) verursachen.

Aufgabe der Erfindung ist es, eine Vorrichtung der gattungsgemäßen Art vorzuschlagen, die die o.a. Beeinträchtigungen nicht aufweist, die robust und zuverlässig ist und die besonders kompatibel mit begleitenden Maßnahmen zur Wirkungsgradverbesserung und/oder Abgasentgiftung einsetzbar ist.

Diese Aufgabe wird erfüllt durch eine Erfindung gemäß mit den kennzeichnenden Merkmalen des Patentanspruches 1 gelöst. Vorteilhaft und besonders zweckmäßige Weiterbildungen der Erfindung sind die weiteren Patentansprüche entnehmbar.


Die Verstellvorrichtung kann gemäß Anspruch 2 durch eine Kurbelgehäuse geführte Schieber mit Schrägschnitten gebildet sein, die mit Gleitsteinen an dem Zylindergehäuse oder am Zylinderkopf zusammenwirken.

Diese Schieber ermöglichen ein feinfühliges, durch das gewählte Kraftwegverhältnis leichtgängige Verstellung und bilden eine auf spätere Abstützung der Gaskörper und der auftretenden Schwingungen.

Die Schieber können elektromotorisch, pneumatisch, bevorzugt jedoch hydraulisch betätigt sein, wobei deren Ansteuerung durch zumindest ein elektrisches Steuerventil bewirkt ist. Eine derartige Steuerung ermöglicht eine schnell ansprechende und exakte Verstellung.

Durch die Merkmale des Patentanspruches 4 wird ein zuverlässiges Starten der Brennkraftmaschine und eine zuverlässige Notlaufunktion bei fehlerhafter Steuerung der Verstellvorrichtung sichergestellt.

Die Patentansprüche 5 und 6 beschreiben eine leichte und trotzdem spulig freie sowie herstellungstechnisch einfache Gestaltung der Führung und der Verstellvorrichtung zwischen Kurbelgehäuse und Zylindergehäuse.

Die erfindungsgemäße Brennkraftmaschine könnte insgesamt ökonomischer sein, wobei diese Kühllung über das Schwieröl im Kurbelgehäuse bewirkt werden könnte. Bevorzugt wird jedoch vorgeschlagen, zumindest den Zylinderkopf, ggf. jedoch Zylinderkopf und Zylindergehäuse mit Wasser zu kühlen, wobei die ggf. vorhandene Wasserpumpe an den Zylinderkopf angebaut bzw. in diesen integriert sein könnte.

Besonders vorteilhaft kann die Verstellvorrichtung zumindest einen Positionsgeber aufweisen, der zur Bildung einer Regelschleife mit dem Steuergerät verbunden ist, so daß eine genaue Verdichtungssteuerung gegeben ist. Dabei können in dem Steuergerät Kennlinien über der Last und der Drehzahl und ggf. der Temperatur der Brennkraftmaschine abgelegt sein, die hinsichtlich des Abgasverhaltens und des Wirkungsgrades der Brennkraftmaschine optimiert sind und die elektronisch entsprechend verknüpft eingesteuert bzw. abgefahren werden. Das Steuergerät kann dabei in ein zentrales Steuergerät für das Motormanagement und die Antriebsteuerung integriert sein.


Es versteht sich, daß über diese Phasenverstellvorrichtung oder über eine weitere zusätzliche Verstellvorrichtung variable Steuerzeiten der Gaswechselventile nach Maßgabe der Last und der Drehzahl der Brennkraftmaschine aber auch nach Maßgabe der eingestellten Verdichtung steuerbar sind.

Gemäß Patentanspruch 11 können die Verdichtung und die Ventilsteuerzeiten sowie alle weiteren den Wirkungsgrad und die Abgaszusammensetzung beeinflussenden Einrichtungen der Brennkraftmaschine oder ggf. des gesamten Antriebsaggregates über ein zentrales kennfeldgesteuertes Motormanagement gesteuert sein mit einem entsprechenden Feedback zur Überwachung all dieser Funktionen.

Ein Ausführungsbeispiel der Erfindung ist im folgenden mit weiteren Einzelheiten näher erläutert. Die schematische Zeichnung zeigt in Fig. 1 einen Längsschnitt entlang der Linie I-1 der Fig. 2 durch eine Hubkolben-Brennkraftmaschine mit Verdichtungsänderung; Fig. 2 einen Querschnitt gemäß Linie II-II der Fig. 1 durch die Brennkraftmaschine; Fig. 3 einen weiteren Schnitt gemäß Linie III-III der Fig. 2 durch die Verstellvorrichtung zur Verdichtungsänderung; Fig. 4 eine Ansicht gemäß Pfeil X der Fig. 2 auf den rechteckigen Nockenwellenansatz der Brennkraftmaschine.

Die Fig. 1, 2 und 4 zeigen den oberen Abschnitt einer fremdfgezündeten Hubkolben-Brennkraftmaschine (10) im Reihenbauart mit vier Zylindern 1, 2, 3 (Zylinder 4 ist nicht dargestellt), die sich im wesentlichen zusammensetzen aus einem Kurbelgehäuse (12), einem Zylindergehäuse (14) und einem Zylinderkopf (16).
Das Kurbelgehäuse (12) ist seitens der Kurbelwelle und der Lagerung, die die Pleuel und die Kolben entsprechend dem Stand der Technik ausgebildet sind. Davon abweichend sind jedoch im Kurbelgehäuse (12) keiner Zylinderlaufbahnen ausgebildet, sondern nach oben ragende glattflächige, im wesentlichen Rechteck bildende Seitenwände (18, 20) und Stirnwände (22) vorgesehen.

Innerhalb dieser Wände (18, 20, 22) ist mit einem geringfügigen Umfangspaß (s) das Zylindergehäuse (14) axial verschiebbar eingesetzt, wobei in seitlichen und sternseitigen Abschnitten axial verlaufende Gleitschienen (24, 26) aus einem geeigneten Lagermaterial (z. B. Messing) vorgesehen sind. Die Gleitschienen (24, 26) sind in stufenförmig zurückgesetzte Führungsabschnitte (28, 30) des Kurbelgehäuses (12) bzw. der umlaufenden Wände (18, 20, 22) eingesetzt und werden von ihrer Rückseite her mit einem Druck stehendem Schmieröl der Brennkraftmaschine beeinflusst. Dabei sorgen Ölbohrungen (32) für einen allseitigen Schmierölfilm an den Gleitschienen.

Das Zylindergehäuse (14) ist in sich bekannter Weise mit dem Zylinderkopf (16) der Brennkraftmaschinen mittels entsprechender, nicht dargestellter Zylinderkopfschrauben versorgt. Zwischen Zylinderkopf (16) und Zylindergehäuse (14) ist eine Flachdichtung (34) eingelegt, an die eine umlaufende, temperaturbeständige Ringmanschette (36) angeordnet ist, die an den umlaufenden Wänden (18, 20, 22) des Kurbelgehäuses (12) dichtend festgelegt ist. Die Ringmanschette (36) dichtet so gut das Kurbelgehäuse (12) nach oben gegen austretendes Schmieröl oder Kurbelgehäusedämpfe ab.

Damit ist die gegenübergewendigt im Übergangsbereich zwischen Seitenwand (18) und sternseitiger Wand (22) des Kurbelgehäuses (12) je eine Verstellvorrichtung (38) (vgl. Fig. 2) vorgesehen, die in jedem in dem Wandbereich (40) des Kurbelgehäuses (12) senkrecht zur Zylinderachse verstellbaren Schieber (42) besteht, der über die hydraulische Kolben-Zylindereneinheit (44) verstellbar ist. Der Schieber (42) weist eine Schrägführung (46) auf, die mit an einem an das Zylindergehäuse (14) angegossenen Gleitstein (48) zusammenwirkt. Die Spielfreiheit des Gleitsteines (48) ist dem Schieber (42) gegenüberliegend ein Nachstellkeil (50) in der Wand (40) verschiebar geführt, der sich über eine Druckfeder (52) mit einer definierten Vorspannung am Schieber (42) abstützt. In der Kolben-Zylindereneinheit (44) ist eine weitere Druckfeder (54) vorgesehen, die den Kolben (56) innerhalb des Zylindergehäuses (58) in die Richtung vorspannt, die dem niedrigsten Verdichtungsverhältnis der Brennkraftmaschine (→ niedrigste Eintauchtiefe des Zylindergehäuses (14) in das Kurbelgehäuse (12)) entspricht. Umgekehrt sollte die Druckfeder (54) den Kolben (56) in die der höchsten Verdichtung entsprechende Position vorspannen, wenn die Brennkraftmaschine nach dem Diesel-Prinzip arbeitet.

Die Kolben-Zylindereneinheit (44) wird über einem schematisch angedeuteten elektrischen Taktventil (60) mit einem unter Druck stehenden Hydraulikmedium versorgt, wobei durch seitlich der Zylindererraum (61) über eine geteilte Bohrung (62) mit einer teils offenen Rücklaufleitung (64) verbunden ist. Bei geschlossenem Taktventil (60) nimmt somit der Kolben die der niedrigsten Verdichtung entsprechende Stellung ein, hingegen kann bei geöffnetem Taktventil (60) Hydraulikmedium eingesteuert werden, bis der Kolben (56) und damit verbunden der Schieber (42) die auf der Zeichnung rechte Endposition erreicht haben, die der höchsten Verdichtung entspricht.

Für die Brennräume (66) (siehe Fig. 1) abdeckende Zylinderkopf (16) weist die Brennraum in bekannter Weise Gaswechselventile (68, 70) auf, die die Einlaßkanäle (72) für Verbrennungsluft und die Auslaßkanäle (74) für das Abgas steuern. Die Gaswechselventile (68, 70) werden über eine nicht dargestellte Ventilsteuerung mit einer Nockenwelle (76) betätigt. Die Nockenwelle und die Ventilsteuerung können herkömmlicher Bauart sein und sind deshalb nicht mehr gezeigt.

Der Antrieb der Nockenwelle (76) (vgl. Fig. 4) erfolgt von der Stirnseite der Brennkraftmaschine her über einen Zahnriemen (78), der von einem nicht dargestellten Zahnriemenrad auf der Kurbelwelle angetrieben ist und das Nockenwellenrad (80) mit der üblichen Übersetzungsverhältnisse für 4-Takt-Brennkraftmaschinen antrimibt. Der Zahnriemen (78) wird auf dem Antriebstrum (82) über eine erste Spannrolle (84) versteilt, wobei die Spanneinrichtung über eine hydraulische Stelleinheit (86) betätigt ist.

Ein zweites Spannrad (88) wirkt auf das Abtriebsstrum (90), wobei dieses auf einem verschwenkbaren Hebel (92) gelagert und durch eine Feder (94) derart vorgespannt ist, daß an dem Zahnriemen eine gleichbleibende Vorspannung vorherrscht.

Während das Spannrad (88) dementsprechend für eine definierte Zahnriemenvorspannung sorgt, wird über das Spannrad (84) in Verbindung mit der hydraulischen Stelleinheit (86) eine Phasenverstellung der Nockenwelle (76) bewirkt, über die eine Verzögerung des Zylinderkopfes (16) mit dem Zylindergehäuse (14) relativ zum Kurbelgehäuse (12) eine gleichbleibende Steuerzeit bzw. Phasenänderung zwischen Kurbelwellen- und Nockenwelle (76) erzielbar ist. Ferner kann über die Stelleinheit (86) auch unabhängig von einer Verdichtungsänderung eine Steuerzeitenänderung der Gaswechselventile (68, 70) durchgeführt werden.

Das hydraulische Stellelement (86) ist über ein nur schematisch angedeutetes elekromagnetisches Taktventil (96) entweder an eine Druckmittelquelle angeschlossen oder mit einer Rücklaufleitung verbunden. Somit können über das Taktventil (96) definierte Positionen des Stellelements (86) bzw. des Spannades (84) angefahren werden.

Im Zylinderkopf (16) und im Zylindergehäuse (14) sind in an bekannten Weise Kühlmittelkanäle (98, 100) eingeformt, die miteinander korrespondieren und in denen eine nicht dargestellte Wasserpumpe im Zylinderkopf (16) Kühlmittel umwälzt. Die Wasserpumpe kann dabei von dem freien Ende der Nockenwelle her angetrieben sein. Im übrigen ist der Zylinderkopf (16) soweit nicht beschrieben herkömmlicher Bauart.

Der nicht dargestellte Ansaugkrümmer sowie der Auspuffkrümmer können entweder unmittelbar an den Zylinderkopf (16) angebaut sein, wobei der Auspuffkrümmer bzw. die daran anschließende Abgasleitung zum Ausgleich der axialen Bewegung bei Verdichtungsänderungen ein flexibler Leitungsteil aufweisen muß. Abweichend dazu könnten jedoch auch Auspuffkrümmer und Ansaugkrümmer am Kurbelgehäuse (12) befestigt sein, wobei dann flexible Verbindungen unmittelbar im Bereich des Zylinderkopfes vorzusehen wären.

Das Taktventil (60) für die hydraulische Verstellvorrichtung (38) und das Taktventil (96) zur Verstellung der Phasenlage der Nockenwelle (76) sind an ein elektronisches Steuergerät (102) für das Motormanagement angeschlossen, über welches in nicht dargestellter Wei-
se die Zündung der Brennkraftmaschine, die Brennstoff-
zumessung und weitere Funktionen gesteuert werden. 
In dem Steuergerät (102) werden nach Maßgabe zumin-
dest der Last und der Drehzahl und der Temperatur der 
Brennkraftmaschine auch die Verdichtungsänderung 
durch Ansteuerung des Takтивentiles (60) und die Steue-
 rung der Phasenlage der Nockenwelle (76) durch An-
steuerung des Takтивentiles (96) gesteuert. Dabei werden 
dem Steuergerät (102) über einen ersten Geber (104) an 
der Stellvorrichtung (38) im Bereich des Schiebers (42) 
und über einen weiteren Positionsgeber (106) an der 
hydraulischen Stelleinheit (86) die Ist-Werte der Ver-
 stellvorrichtungen eingegeben und mit den in Kennel-
dern abgelegten Soll-Werten verglichen und bei Abwei-
chungen die Verstellteile entsprechend angesteu-
ert.

So wird beispielsweise bei einem Verschieben der 
Schieber (42) die Baueinheit Zylindergehäuse (14) mit 
Zylinderkopf (16) auf der Zeichnung Fig. 1 nach oben 
oder unten verschoben und dabei die Verdichtungsräu-
me bzw. Brennräume (26) bei einem räumlich unverän-
derlichen oberen Totpunkt der Kolben vergrößert oder 
verkleinert. Zugleich dabei über die hydraulische Stell-
einheit (86) das Spannrad (84) so verfahren, daß die 
Phasenlage der Nockenwelle (76) unverändert bleibt. 
Überlagert dazu werden variable Steuerzeiten zur Opti-
mierung des Wirkungsgrades und der Abgasentsorgung 
gesteuert.

Die Erfindung ist nicht auf die dargestellten Ausfüh-
rungsbeispiele beschränkt. So kann beispielsweise die 
Verstellvorrichtung (38) auch so ausgebildet sein, wie 
dies auf der linken Seite in Fig. 1 der Zeichnung unter 
(38') ausgeführt ist. Dabei sind an dem Zylinderkopf (16) 
Stellarme (110) angeordnet, die die Kolben (48) tragen. 
Die Gleitsteine (48') wiederum wirken wie vorsteh-
end beschrieben mit den Schiebern (42) mit Schrägfüh-
rung und den Nachstelleilen (50) zusammen. Dazu ist 
zusätzlich eine Abdichtmanschette (112) erforderlich, die 
eine Leckage zwischen Stellarmen (10) und Kurbel-
gehäuse (12) bzw. den Umfangswänden (18, 20, 22) aus-
schließen, wobei eine Nockenwelle die Einlaßventile 
und die zweite Nockenwelle die Auslaßventile antreibt. 
Dabei kann auch eine zweite Phasenverstellvorrichtung 
vorgesehen sein, die dann unabhängig von der Stellein-
heit (86) zur Kompensation der Phasenlage bei Verdich-
tungsänderung die Steuerzeiten der Einlaß-Nockenwel-
le versetzt. Diese Phasenverstellvorrichtung kann wie-
derum bekannter Bauart sein.

Patentansprüche
1. Hubkolben-Brennkraftmaschine mit veränderba-
er Verdichtung, gekennzeichnet durch die folgen-
den Merkmale:
   — ein Zylindergehäuse (14) ist mit einem Zy-
linderkopf (16) mit Gaswechselventilen (68,70) 
verbunden,
   — das Zylindergehäuse (14) ist im Kurbelge-
  häuse (12) axial verschiebbar geführt,
   — es ist eine Verstellvorrichtung (38) vorgese-
hen, die von einem Steuergerät (102) gesteuert 
ist,
   — das Steuergerät (102) steuert die Verdi-
  chtungsänderung abhängig von der Last und 
oder der Drehzahl der Brennkraftmaschine 
(10).
2. Brennkraftmaschine nach Anspruch 1, dadurch 
gekennzeichnet, daß die Verstellvorrichtung (38) 
durch einen oder mehrere im Kurbelgehäuse (12) 
geführte Schieber (42) mit Schrägführungen (46) 
gebildet ist, die mit Gleitsteinen (48) am Zylinder-
gehäuse (14) bzw. Zylinderkopf (16) zusammenwir-
ken.
3. Brennkraftmaschine nach den Ansprüchen 1 und 
2, dadurch gekennzeichnet, daß die Schieber (42) 
hydraulisch über Kolben-Zylinder-Einheiten (44) 
betätigt sind, wobei die Ansteuerung durch zumin-
dest ein elektrohydraulisches Steuerventil (60) be-
wirkt ist.
4. Brennkraftmaschine nach den Ansprüchen 1 bis 
3, dadurch gekennzeichnet, daß die Schieber (42) 
durch Federn (54) in eine Endposition vorgespannt 
sind, die bei Fremdzündung der Position mit der 
niedrigsten Verdichtung und bei Selbstzündung der 
Position mit der höchsten Verdichtung entspricht.
5. Brennkraftmaschine nach Anspruch 2, dadurch 
gekennzeichnet, daß die Schieber (42) und Gleit-
steine (48) jeweils durch einen federn vorgespann-
ten Nachstellkeil (50) spielfrei gehalten sind.
6. Vorrichtung nach einem oder mehreren der An-
sprüche 1 bis 5, dadurch gekennzeichnet, daß die 
Führungen (24, 26) zwischen Kurbelgehäuse (12) 
und Zylindergehäuse (14) an das Druckumlauf-
Schmieröl-System der Brennkraftmaschine (10) an-
geschlossen und nach außen abgedichtet sind.
7. Brennkraftmaschine nach einem der vorherge-
henden Ansprüche, dadurch gekennzeichnet, daß 
 der Zylinderkopf (16) und/oder das Zylinderge-
  häuse (14) wassergekühlt sind und mit entsprechenden 
Kühlkanailen (98, 100) miteinander korrespondie-
ren, wobei die Kühlwasserzuleitung und -abfuhr über den 
Zylinderkopf (16) erfolgt.
8. Brennkraftmaschine nach Anspruch 1, dadurch 
gekennzeichnet, daß die Verstellvorrichtungen (38) 
zumindest einen Positionsgeber (104) aufweisen, der zur 
Bildung einer Regelschleife mit dem Steuer-
gerät (102) verbunden ist.
9. Brennkraftmaschine nach einem oder mehreren 
der vorhergehenden Ansprüche, wobei die Steue-
rung der Gaswechselventile (68, 70) über zumin-
dest eine drehbar gelagerte Nockenwelle (76) im Zy-
linderkopf (16) erfolgt, die trieblich mit der Kurbel-
welle im Kurbelgehäuse (12) verbunden ist, da-
durch gekennzeichnet, daß im Antrieb der Nocken-
welle (76) eine Phasenverstellvorrichtung (84, 86) 
vorgesehen ist, die die Phasenabweichungen bei 
Verdichtungsänderungen kompensiert.
10. Brennkraftmaschine nach Anspruch 9, dadurch 
gekennzeichnet, daß die Phasenverstellvorrichtung 
(84, 86) oder eine weitere Verstellvorrichtung ferner 
variable Steuerzeiten der Gaswechselventile (68, 70) abhängig von der Drehzahl und/oder der Last 
und/oder der eingestellten Verdichtung der 
Brennkraftmaschine (10) steuert.
11. Brennkraftmaschine nach Anspruch 10, dadurch 
gekennzeichnet, daß die Phasenverstellvorrichtun-
gen (84, 86) für die Nockenwelle (76) mit einem 
Positionsgeber (106) kombiniert sind, der mit dem 
Steuergerät (102) verbunden ist.
IC engine with variable compression ratio - has conventional head and sliding cylinder within block displaced by hydraulically-operated sliders depending on load and rpm

October 14, 1993

NAME        COUNTRY
ROSSMANN, MICHAEL    DE
NAME
AUDI NSU AUTO UNION
AG

COUNTRY
DE

APPL-NO:
DE04211589

APPL-DATE:
April 7, 1992

PRIORITY-DATA:
DE04211589A (April 7, 1992)

INT-CL
F02D015/04 ,
(IPC):
F02F001/16 , F02F001/38 ,
F01L001/04 , F01L001/34

EUR-CL
F01L001/348 ,
(EPC):
F02B075/04 , F02D015/04

ABSTRACT:

CHG DATE=19990617
STATUS=O>The IC engine has variable
compression ratio. Each cylinder is connected to a head with conventional valves but can be displaced (38) transversely within the engine block (ie, in or out relative to crankshaft). This is effected by a control device (102) and is dependant on engine load and rpm. The displacement device (38) consists of one or more sliders (42) in the block with inclined guide faces working with slide rings. The sliders are operated hydraulically by a piston-cylinder combination (44) and an electromagnetic control valve (60). They are tensioned by springs in the end positions corresponding to the lowest and highest compression ratios. ADVANTAGE - Robust, reliable and compatible with other measures for exhaust gas detoxification or increase of efficiency.
### Index of Claims

<table>
<thead>
<tr>
<th>Application/Control No.</th>
<th>Applicant(s)/Patent Under Reexamination</th>
</tr>
</thead>
<tbody>
<tr>
<td>12404355</td>
<td>PATTAKOS ET AL.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Examiner</th>
<th>Art Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>NOAH KAMEN</td>
<td>3783</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>✓</th>
<th>Rejected</th>
</tr>
</thead>
<tbody>
<tr>
<td>=</td>
<td>Allowed</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>-</th>
<th>Cancelled</th>
</tr>
</thead>
<tbody>
<tr>
<td>÷</td>
<td>Restricted</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>N</th>
<th>Non-Elected</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>Interference</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>A</th>
<th>Appeal</th>
</tr>
</thead>
<tbody>
<tr>
<td>O</td>
<td>Objected</td>
</tr>
</tbody>
</table>

- **Claims renumbered in the same order as presented by applicant**
- **Final Original 08/31/2011**

<table>
<thead>
<tr>
<th>CLAIM</th>
<th>DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td></td>
</tr>
</tbody>
</table>
**Search Notes**

<table>
<thead>
<tr>
<th>Application/Control No.</th>
<th>Applicant(s)/Patent Under Reexamination</th>
</tr>
</thead>
<tbody>
<tr>
<td>12404355</td>
<td>PATTAKOS ET AL.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Examiner</th>
<th>Art Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>NOAH KAMEN</td>
<td>3783</td>
</tr>
</tbody>
</table>

**SEARCHED**

<table>
<thead>
<tr>
<th>Class</th>
<th>Subclass</th>
<th>Date</th>
<th>Examiner</th>
</tr>
</thead>
<tbody>
<tr>
<td>123</td>
<td>48R, 48C</td>
<td>8/31/2011</td>
<td>NK</td>
</tr>
<tr>
<td></td>
<td>78R, 78C</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**SEARCH NOTES**

<table>
<thead>
<tr>
<th>Search Notes</th>
<th>Date</th>
<th>Examiner</th>
</tr>
</thead>
<tbody>
<tr>
<td>EAST</td>
<td>8/31/2011</td>
<td>NK</td>
</tr>
</tbody>
</table>

**INTERFERENCE SEARCH**

<table>
<thead>
<tr>
<th>Class</th>
<th>Subclass</th>
<th>Date</th>
<th>Examiner</th>
</tr>
</thead>
<tbody>
<tr>
<td>see</td>
<td>EAST</td>
<td>8/31/2011</td>
<td>NK</td>
</tr>
</tbody>
</table>
## EAST Search History

### EAST Search History (Prior Art)

<table>
<thead>
<tr>
<th>Ref #</th>
<th>Hits</th>
<th>Search Query</th>
<th>DBs</th>
<th>Default Operator</th>
<th>Plurals</th>
<th>Time Stamp</th>
</tr>
</thead>
<tbody>
<tr>
<td>L1</td>
<td>734</td>
<td>(123/48R, 48C, 78R, 78C), CCLS.</td>
<td>US-PGPUB; USPAT; USOCR; FPR; EPO; JPO; DERWENT; IBM_TDB</td>
<td>OR</td>
<td>OFF</td>
<td>2011/08/31 09:39</td>
</tr>
<tr>
<td>L2</td>
<td>4568</td>
<td>engine near30 ((variab$9 or vary $3 or adjust$3 or adjustab$9 or chang$6 or select$3 or selectab$9 or control$4) near3 compression near2 ratio)</td>
<td>US-PGPUB; USPAT; USOCR; FPR; EPO; JPO; DERWENT; IBM_TDB</td>
<td>OR</td>
<td>CN</td>
<td>2011/08/31 09:40</td>
</tr>
<tr>
<td>L3</td>
<td>5105</td>
<td>1 or 2</td>
<td>US-PGPUB; USPAT; USOCR; FPR; EPO; JPO; DERWENT; IBM_TDB</td>
<td>OR</td>
<td>CN</td>
<td>2011/08/31 09:40</td>
</tr>
<tr>
<td>L4</td>
<td>5250</td>
<td>(compression or ratio) near300 (cylinderhead or head) near100 (eccentr$9 or slides or displac$3 or displacement or height)</td>
<td>US-PGPUB; USPAT; USOCR; FPR; EPO; JPO; DERWENT; IBM_TDB</td>
<td>OR</td>
<td>CN</td>
<td>2011/08/31 09:45</td>
</tr>
<tr>
<td>L5</td>
<td>107</td>
<td>3 and 4</td>
<td>US-PGPUB; USPAT; USOCR; FPR; EPO; JPO; DERWENT; IBM_TDB</td>
<td>OR</td>
<td>CN</td>
<td>2011/08/31 09:45</td>
</tr>
</tbody>
</table>

8/31/2011 10:32:39 AM
C:\Documents and Settings\NKamen\My Documents\EAST\Workspaces\default51811.wsp
Title: VARIABLE COMPRESSION RATIO ENGINE

Publication No: US-2010-0229834-A1
Publication Date: 09/16/2010

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO’s publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO’s Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101
Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections.

Applicant(s)
Manousos Pattakos, Nikea Piraeus, GREECE; Chrysavg Pattakou, Aharnai Athens, GREECE; Emmanouel Pattakos, Nikea Piraeus, GREECE;

Power of Attorney: None

Domestic Priority data as claimed by applicant

Foreign Applications

Permission to Access - A proper Authorization to Permit Access to Application by Participating Offices (PTO/SB/39 or its equivalent) has been received by the USPTO.

If Required, Foreign Filing License Granted: 03/27/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 12/404,355

Projected Publication Date: 09/16/2010

Non-Publication Request: No

Early Publication Request: No
** SMALL ENTITY **
PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as
set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).
**AUTHORIZATION TO PERMIT ACCESS TO APPLICATION BY PARTICIPATING OFFICES**

<table>
<thead>
<tr>
<th>Application Number</th>
<th>12/404,355</th>
</tr>
</thead>
<tbody>
<tr>
<td>Filing Date</td>
<td>03/16/2009</td>
</tr>
<tr>
<td>First Name</td>
<td>Manousos Pattakos</td>
</tr>
<tr>
<td>Title</td>
<td>VARIABLE COMPRESSION RATIO ENGINE</td>
</tr>
</tbody>
</table>

The undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h).

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

This written authorization should be submitted prior to the filing of a subsequent foreign application, in which priority is claimed to the above-identified patent application, with any intellectual property office (e.g., the EPO, JPO, KIPO, or DAS Accessing Office). However, if applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified patent application is filed to have access to the above-identified patent application, this written authorization should not be filed.

No fee will be charged under 37 CFR 1.19(b)(1) for providing a participating intellectual property office with an electronic copy of the above-identified patent application.

This form must be signed by an authorized party in accordance with 37 CFR 1.14(c).

**Signature**

06/15/2010

Manousos Pattakos

030-210-4934402

First Applicant and Inventor
Manousos Pattakos  
Lampraki 406  
PC 18452 GR  
Nikea Piraeus, 18452  
GREECE

Date Mailed: 03/31/2009

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections.**

Applicant(s)

Manousos Pattakos, Nikea Piraeus, GREECE;  
Chrysavg Pattakou, Aharnai Athens, GREECE;  
Emmanouel Pattakos, Nikea Piraeus, GREECE;

Power of Attorney: None

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 03/27/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/404,355**

Projected Publication Date: 09/16/2010

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **
PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as
set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).
VARIABLE COMPRESSION RATIO ENGINE
In the prior art, like SAAB’s PCT/SE91/818 and Toyota’s US7,047,917, a pair of connecting shafts is arranged at the two sides of the cylinder block, laterally, to connect the upper and lower sections of the engine. The rotation of a control shaft displaces the cylinder head relative to the crankcase to vary the compression ratio. The inevitable long distance between the two connecting shafts generates heavy bending loads, flexing and noise, making the reinforcement of the two sections inevitable.
In this patent, a variable compression ratio internal combustion engine comprises a base section and a movable section slidably fitted to each other.
The movable section comprises a cylinder head and a cylinder block.
The base section comprises a crankcase, or a casing in general. There are projections of the crankcase into the cylinder head to provide supports for receiving the forces applied to the cylinder head from the high-pressure gas into the combustion chamber. These projections comprise pillars starting near the crankshaft base bearings and enter, through proper openings, into the cylinder head, they also comprise bridges firmly interconnecting the free ends of the pillars to strengthen the structure and to provide supports to a control shaft.
In the conventional cylinder block the narrowing between neighboring cylinders is an available free area for the pillars. Bearing the cylinder head, the pillars are loaded purely in tension and connect, as directly as desirable, the tightening screws of the crankshaft bearing caps to the tightening screws of the bridges. Limited to the bridges, the bending loads are no heavier than those
in the crankshaft bearing caps, i.e. there is nothing special regarding the size or the design of the bridges. The control shaft has eccentric pins or cams or toothed gears etc. The crankcase bears the control shaft and the control shaft bears the cylinder head, longitudinally. The architecture of the crankcase projections fits the direction of the gas pressure forces on the cylinder head, resulting in pure tensile loading of the pillars. There are sliders on the cylinder block, at the height where the piston skirts thrust the cylinder walls. These sliders thrust on respective crankcase sliders in order to pass the thrust loads of the cylinder block onto the crankcase. These loads are several times weaker than those on the cylinder head. The pillars of the crankcase projections can serve as the crankcase sliders, too. The bridging of the free ends of the pillars and the small distance of the thrust loads from the crankcase side of the pillars enables the structure to withstand heavy thrust loads. The cylinder block, free from transferring to the crankcase the forces applied on the cylinder head, becomes lighter and distortion free. The forces tending to separate the cylinder head from the cylinder are small enabling the reliable sealing of the combustion chamber. The union of the cylinder head with the cylinder block in a single piece is a further option, better as regards the cooling, the simplicity, the robustness, the cost and the reliability. The control shaft is pivotally mounted either on the cylinder head or on the crankcase projections. The control shaft supported on the crankcase projection directly, or by connecting means like connecting rods or sliders, receives the forces applied on the cylinder head and supports the cylinder head. The angular
displacement of the control shaft varies the compression ratio by displacing the cylinder head relative to the crankshaft.
Figs 1 to 9 show a first embodiment.
Fig 1 shows the engine partly sliced.
Fig 2 shows the base section and the movable section separated, with the control shaft between them.
Fig 3 shows the movable section disassembled and the bearings for mounting the control shaft.
Fig 4 shows the control shaft and the bridges of the pillars.
Fig 5 shows the crankcase, the projections of the crankcase and the parts for the connection of the crankcase to the control shaft.
Fig 6 shows the control shaft, the lower bearings of the crankshaft, the bridges of the pillars and the straight way for passing the loads.
Fig 7 shows the robust, yet compact and light, structure of the crankcase.
Fig 8 shows sections of the engine.
Fig 9 shows the section D-D of Fig 8 exploded.
Figs 10 to 13 show a second embodiment.
Fig 10 shows the engine partly sliced, a bearing cup from the other side, the timing belt and the sprockets.
Fig 11 shows the crankshaft, the connecting rods and the pistons of the engine, the control shaft, the bridges and the direct passing of the loads from the cylinder head, through the pillars, to the lower bearings of the crankshaft.
Fig 12 shows at top the bridges and the control shaft, at middle the cylinder head and at bottom the crankcase with its pillars.
Fig 13 shows details of the control shaft, the bridges, the sliders and the roller bearings.
Fig 14 shows a variation of the second embodiment.
Fig 15 shows another variation of the second embodiment. In a first embodiment, on top of the cylinder head 9 of the movable section 7, a control shaft 13 is pivotally mounted in the space between the two camshafts, leaving area for a centrally located spark in the combustion chamber 12. The crankcase 2, of the base section 1, has projections 6 comprising pillars and bridges. The control shaft has eccentric pins 14. The connecting rods 15 are pivotally mounted at one end on said eccentric pins 14 and at the other end on the crankcase projections 6. The movable section 7 is slidably fitted on the crankcase 2 by means of the cylinder sliders 10 and the crankcase sliders 5. The trust loads of the cylinders pass through the cylinder sliders 10 to the crankcase 2. The angular displacement of the control shaft displaces the cylinder head, relative to the crankshaft, varying the compression ratio. The control shaft receives the forces applied to the cylinder head and passes them, through the connecting rods 15, to the bridges, then to the pillars and finally to the lower crankcase. Compared to the gas pressure force carried by the connecting rod to the crankshaft 4, each pillar carries less than a quarter and each short connecting rod 15 carries less than half.

In a second embodiment, the control shaft 13 is pivotally mounted on the cylinder head by means of needle roller bearings and has eccentric pins 14. First slider means 16 are pivotally mounted on the eccentric pins 14, they are also slidably fitted into second slider means 17 formed in the bridges of the free ends of the pillars. The angular displacement of the control shaft 13 displaces the cylinder
head 9 relative to the crankcase varying the compression ratio. All heavy loaded pivot joints and sliders can be of the needle roller bearing type to avoid lubrication issues. The geometry of the arrangement of the timing belt shown in Fig 10 can keep substantially unchanged the timing between the crankshaft and the camshafts. The roller just below the two camshaft sprockets has a shaft secured on the base section. The other roller, near the crankshaft, keeps the timing belt tight. In a variation of the second embodiment, Fig 14, cams have replaced the eccentric pins of the control shaft. Under the camming action of said cams on the pillar bridges, the cylinder head is displaced and the compression ratio varies. In another variation of the second embodiment, Fig 15, toothed gears have replaced the eccentric pins of the control shaft. The toothed gears are meshed to rack gears formed on the bridges. The proper design of the crankcase projections neither restricts the size of the intake and exhausts ports, as compared to the conventional engine, nor restricts the coolant passage areas 20 along the cylinder head. The sealing is easy, for instance by means of a rubber seal 18 inserted into a groove formed in the crankcase and being in touch to a properly shaped surface 19 around the cylinder head. The angular displacement of the control shaft can be manual, mechanical, hydraulic, electrical etc. Knock sensors and feedback control enables HCCI operation.
CLAIMS
What is claimed is:
1. A variable compression ratio internal combustion engine comprising at least:
a base section (1), said base section (1) comprising a crankcase (2), said crankcase (2) comprising bearings (3), said base section (1) comprising a crankshaft (4) rotatably mounted on said crankcase (2) by means of said bearings (3) to rotate therein, said crankcase (2) comprising crankcase sliders (5), said crankcase (2) comprising crankcase projections (6);
a movable section (7), said movable section (7) comprising a cylinder (8), said movable section (7) comprising a cylinder head (9), said movable section (7) comprising cylinder sliders (10), said movable section (7) being slidably fitted on said crankcase (2) by means of said crankcase sliders (5) and said cylinder sliders (10), said cylinder sliders (10) supported on said crankcase sliders (5) pass the thrust loads of said cylinder (8) to said crankcase (2);
a working piston (11) slidably fitted into said cylinder (8);
a combustion chamber (12) formed between said cylinder (8), said working piston (11) and said cylinder head (9);
a control shaft (13), said control shaft (13) being pivotally mounted into said cylinder head (9), said control shaft (13) comprising eccentric pins (14);
connecting rods (15), said connecting rods (15) being pivotally mounted, at one end, on said eccentric pins (14), said connecting rods (15) being, at their other ends, pivotally mounted on said crankcase projections (6),
the control shaft (13), supported on said crankcase projections (6), is bearing the cylinder head (9) and the forces from the combustion,
the angular displacement of the control shaft (13) varies the compression ratio by displacing the cylinder head (9) relative to the crankcase (2) via the connecting rods (15).
2. A variable compression ratio internal combustion engine comprising at least:
a base section (1), said base section (1) comprising a crankcase (2), said crankcase (2) comprising bearings (3), said base section (1) comprising a crankshaft (4) rotatably mounted on said crankcase (2) by means of said bearings (3) to rotate therein, said crankcase (2) comprising crankcase sliders (5), said crankcase (2) comprising crankcase projections (6);
a movable section (7), said movable section (7) comprising a cylinder (8), said movable section (7) comprising a cylinder head (9), said movable section (7) comprising cylinder sliders (10), said movable section (7) being slidably fitted on said crankcase (2) by means of said crankcase sliders (5) and said cylinder sliders (10);
a working piston (11) slidably fitted into said cylinder (8);
a combustion chamber (12) formed between said cylinder (8), said working piston (11) and said cylinder head (9);
a control shaft (13),
the combustion chamber (12) is arranged between the control shaft (13) and the crankshaft (4),
the control shaft (13) is linked to the cylinder head (9), the control shaft (13) is linked to the crankcase projections (6),
the control shaft (13), supported on the crankcase projections (6), is bearing the cylinder head (9) receiving the forces from the combustion, the angular displacement of the control shaft (13) varies the compression ratio by displacing the cylinder head (9) relative to the crankcase (2).

3. A variable compression ratio internal combustion engine according claim 2, wherein:
the control shaft (13) comprises eccentric pins (14),
the control shaft (13) is pivotally mounted on the cylinder head (9),
the control shaft (13) is linked to the crankcase projections (6) by means of first slider means (16) and of second slider means (17), said first slider means (16) are pivotally mounted on said eccentric pins (14), said first slider means (16) and said second slider means (17) being slidably fitted to each other.

4. A variable compression ratio internal combustion engine according to claim 2, wherein the control shaft is pivotally mounted on said cylinder head (7).

5. A variable compression ratio internal combustion engine according to claim 2, wherein the control shaft is pivotally mounted on said crankcase projections (6).

6. A variable compression ratio internal combustion engine according to claim 2, wherein there are more than one control shafts bearing the cylinder head.

7. A variable compression ratio internal combustion engine according to claim 2, wherein the control shaft comprises cams, the camming action of said cams displaces the cylinder head relative to the crankcase to vary the compression ratio.
8. A variable compression ratio internal combustion engine according to claim 2, wherein the control shaft comprises toothed gears, the toothed gears meshing with rack gears to displace the cylinder head relative to the crankcase.

9. A variable compression ratio internal combustion engine according to claim 2, wherein at least one of said pivotal joints and sliders comprises roller bearings.

10. A variable compression ratio internal combustion engine comprising at least:
    a casing;
    a cylinder, said casing and said cylinder are slidably fitted to each other;
    a cylinder head secured on said cylinder;
    a control shaft disposed into said cylinder head,
    the control shaft bears substantially the entire load applied on the cylinder head,
    the angular displacement of the control shaft varies the compression ratio by displacing the cylinder head relative to the casing.
ABSTRACT
To control the compression ratio of an internal combustion engine, the cylinder block is slidably fitted to the crankcase, projections from the crankcase extend into the cylinder head to support a control shaft bearing the cylinder head. The angular displacement of the control shaft varies the compression ratio by displacing the cylinder head relative to the crankcase.
# Electronic Patent Application Fee Transmittal

<table>
<thead>
<tr>
<th>Application Number:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Filing Date:</td>
<td></td>
</tr>
</tbody>
</table>

| Title of Invention: | VARIABLE COMPRESSION RATIO ENGINE |

<table>
<thead>
<tr>
<th>First Named Inventor/Applicant Name:</th>
<th>Manousos Pattakos</th>
</tr>
</thead>
<tbody>
<tr>
<td>Filer:</td>
<td>Manousos Pattakos</td>
</tr>
<tr>
<td>Attorney Docket Number:</td>
<td></td>
</tr>
</tbody>
</table>

Filed as Small Entity

## Utility under 35 USC 111(a) Filing Fees

<table>
<thead>
<tr>
<th>Description</th>
<th>Fee Code</th>
<th>Quantity</th>
<th>Amount</th>
<th>Sub-Total in USD($)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Basic Filing</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Utility filing Fee (Electronic filing)</td>
<td>4011</td>
<td>1</td>
<td>82</td>
<td>82</td>
</tr>
<tr>
<td>Utility Search Fee</td>
<td>2111</td>
<td>1</td>
<td>270</td>
<td>270</td>
</tr>
<tr>
<td>Utility Examination Fee</td>
<td>2311</td>
<td>1</td>
<td>110</td>
<td>110</td>
</tr>
</tbody>
</table>

| Pages:                          |          |          |        |                     |
| Claims:                         |          |          |        |                     |

<p>| Miscellaneous-Filing:           |          |          |        |                     |
| Petition:                       |          |          |        |                     |
| Patent-Appeals-and-Interference:|          |          |        |                     |</p>
<table>
<thead>
<tr>
<th>Description</th>
<th>Fee Code</th>
<th>Quantity</th>
<th>Amount</th>
<th>Sub-Total in USD($)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Post-Allowance-and-Post-Issuance:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Extension-of-Time:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Miscellaneous:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>462</td>
</tr>
<tr>
<td><strong>Electronic Acknowledgement Receipt</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---------------------------------------</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>EFS ID:</strong></td>
<td>4968995</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Application Number:</strong></td>
<td>12404355</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>International Application Number:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Confirmation Number:</strong></td>
<td>1641</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Title of Invention:</strong></td>
<td>VARIABLE COMPRESSION RATIO ENGINE</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>First Named Inventor/Applicant Name:</strong></td>
<td>Manousos Pattakos</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Correspondence Address:</strong></td>
<td>Manousos Pattakos</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>-</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Lampraki 406</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Nikea Piraeus</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>GR</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>0302104934402</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><a href="mailto:manousos.pattakos@pattakon.com">manousos.pattakos@pattakon.com</a></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Filer:</strong></td>
<td>Manousos Pattakos</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Filer Authorized By:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Attorney Docket Number:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Receipt Date:</strong></td>
<td>16-MAR-2009</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Filing Date:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Time Stamp:</strong></td>
<td>05:23:04</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Application Type:</strong></td>
<td>Utility under 35 USC 111(a)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Payment information:**

<p>|Submitted with Payment | yes |
|Payment Type           | Credit Card |
|Payment was successfully received in RAM | $462 |</p>
<table>
<thead>
<tr>
<th>Document Number</th>
<th>Document Description</th>
<th>File Name</th>
<th>File Size (Bytes)</th>
<th>Multi Part/.zip</th>
<th>Pages (if appl.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Oath or Declaration filed</td>
<td>Declarations_Fees.pdf</td>
<td>1497468</td>
<td>no</td>
<td>4</td>
</tr>
<tr>
<td>2</td>
<td>Specification</td>
<td>VCR_Description_Claims_Abstr_act.pdf</td>
<td>25735</td>
<td>no</td>
<td>10</td>
</tr>
<tr>
<td>3</td>
<td>Drawings-only black and white line drawings</td>
<td>VCR_drawings.pdf</td>
<td>564726</td>
<td>no</td>
<td>14</td>
</tr>
<tr>
<td>4</td>
<td>Fee Worksheet (PTO-06)</td>
<td>fee-info.pdf</td>
<td>32658</td>
<td>no</td>
<td>2</td>
</tr>
</tbody>
</table>

Total Files Size (in bytes): 2120587

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.
FEE TRANSMITTAL
For FY 2009

☑ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT ($)

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

<table>
<thead>
<tr>
<th>Application Type</th>
<th>Small Entity</th>
<th>Search Fees</th>
<th>Small Entity</th>
<th>Examination Fees</th>
<th>Small Entity</th>
<th>Fees Paid ($)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Utility</td>
<td>330</td>
<td>165</td>
<td>540</td>
<td>270</td>
<td>220</td>
<td>110</td>
</tr>
<tr>
<td>Design</td>
<td>220</td>
<td>110</td>
<td>100</td>
<td>50</td>
<td>140</td>
<td>70</td>
</tr>
<tr>
<td>Plant</td>
<td>220</td>
<td>110</td>
<td>330</td>
<td>165</td>
<td>170</td>
<td>85</td>
</tr>
<tr>
<td>Reissue</td>
<td>330</td>
<td>165-</td>
<td>540</td>
<td>270</td>
<td>650</td>
<td>325</td>
</tr>
<tr>
<td>Provisional</td>
<td>220</td>
<td>110</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td></td>
</tr>
</tbody>
</table>

2. EXCESS CLAIM FEES

- Each claim over 20 (including Reissues)
- Each independent claim over 3 (including Reissues)
- Multiple dependent claims

<table>
<thead>
<tr>
<th>Total Claims</th>
<th>Extra Claims</th>
<th>Fee ($)</th>
<th>Fee Paid ($)</th>
</tr>
</thead>
<tbody>
<tr>
<td>20 or less</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>21 to 30</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>31 or more</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is $270 ($135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(e).

<table>
<thead>
<tr>
<th>Total Sheets</th>
<th>Extra Sheets</th>
<th>Fee ($)</th>
<th>Number of Each Additional 50 or Fraction Thereof</th>
<th>Fee ($)</th>
</tr>
</thead>
<tbody>
<tr>
<td>24</td>
<td>100</td>
<td>0</td>
<td>0 (round up to a whole number)</td>
<td>0</td>
</tr>
</tbody>
</table>

4. OTHER FEE(S)

- Non-English Specification, $130 fee (no small entity discount)
- Other (e.g., late filing surcharge): $0

SUBMITTED BY

Signature
PATTAKOS Manousos

Registration No.

Telephone 030-210-4934402

Name (Print/Type)
PATTAKOS Manousos

Date March 16, 2009

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time varies depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-0190 and select option 2.
DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Attorney Docket Number
First Named Inventor PATTAKOS Manousos

Application Number
Filing Date March 16, 2009
Art Unit
Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

VARIABLE COMPRESSION RATIO ENGINE

(Title of the Invention)

the specification of which

☑ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

<table>
<thead>
<tr>
<th>Prior Foreign Application Number(s)</th>
<th>Country</th>
<th>Foreign Filing Date (MM/DD/YYYY)</th>
<th>Priority Not Claimed</th>
<th>Certified Copy Attached?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page: 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.56. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 71 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing this form, call 1-800-PTO-9199 and select option 2.
DECLARATION — Utility or Design Patent Application

Directed all correspondence to:  
☐ The address associated with Customer Number:  
OR  
☑ Correspondence address below

Name: Manousos Pattakos

Address: Lampraki 406, PC 18452 GR, Nikea Piraeus, Greece

City: Nikea Piraeus

State:  
ZIP: 18452

Telephone: 030-210-4934402

Email: manousos.pattakos@pattakos.com

Country: Greece

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle if any))  
Manousos

Family Name or Surname  
Pattakos

Inventor’s Signature  

Residence: City  
Nikea Piraeus

State:  
Country: Greece

Citizenship: Greek

Mailing Address: Lampraki 406, PC18452, Nikea Piraeus, Greece

City: Nikea Piraeus

State:  
ZIP: 18452

Country: Greece

☑ Additional inventors or a legal representative are being named on the supplementary sheet(s) PTO/SB/42A or 01/IR attached hereto.
<table>
<thead>
<tr>
<th>Name of Additional Joint Inventor, if any:</th>
<th>□ A petition has been filed for this unsigned inventor</th>
</tr>
</thead>
<tbody>
<tr>
<td>Given Name (first and middle (if any))</td>
<td>Family Name or Surname</td>
</tr>
<tr>
<td>Chrysavgi</td>
<td>Pattakou</td>
</tr>
<tr>
<td>Inventor's Signature</td>
<td></td>
</tr>
<tr>
<td>Aharnai Athens</td>
<td>Greece</td>
</tr>
<tr>
<td>Residence: City</td>
<td>State</td>
</tr>
<tr>
<td>Xenofontos 20, 13674GR, Aharnai, Athens</td>
<td>March 16, 2009</td>
</tr>
<tr>
<td>Mailing Address</td>
<td></td>
</tr>
<tr>
<td>Aharnai Athens</td>
<td>13674</td>
</tr>
<tr>
<td>City</td>
<td>State</td>
</tr>
<tr>
<td>Name of Additional Joint Inventor, if any:</td>
<td>□ A petition has been filed for this unsigned inventor</td>
</tr>
<tr>
<td>Given Name (first and middle (if any))</td>
<td>Family Name or Surname</td>
</tr>
<tr>
<td>Emmanouel</td>
<td>Pattakos</td>
</tr>
<tr>
<td>Inventor's Signature</td>
<td></td>
</tr>
<tr>
<td>Nikea Piraeus</td>
<td>Greece</td>
</tr>
<tr>
<td>Residence: City</td>
<td>State</td>
</tr>
<tr>
<td>Lampraki 406, PC 18452 GR, Nikea Piraeus, Greece</td>
<td></td>
</tr>
<tr>
<td>Mailing Address</td>
<td></td>
</tr>
<tr>
<td>Nikea Piraeus</td>
<td>18452</td>
</tr>
<tr>
<td>City</td>
<td>State</td>
</tr>
<tr>
<td>Name of Additional Joint Inventor, if any:</td>
<td>□ A petition has been filed for this unsigned inventor</td>
</tr>
<tr>
<td>Given Name (first and middle (if any))</td>
<td>Family Name or Surname</td>
</tr>
<tr>
<td>signature</td>
<td>Date</td>
</tr>
<tr>
<td>Residence: City</td>
<td>State</td>
</tr>
<tr>
<td>Mailing Address</td>
<td></td>
</tr>
<tr>
<td>City</td>
<td>State</td>
</tr>
</tbody>
</table>

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.53. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.15 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form (including suggestions for reducing this burden) should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.
## PATENT APPLICATION FEE DETERMINATION RECORD

<table>
<thead>
<tr>
<th>APPLICATION AS FILED – PART I</th>
<th>SMALL ENTITY</th>
<th>OTHER THAN SMALL ENTITY</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Column 1)</td>
<td>(Column 2)</td>
<td></td>
</tr>
<tr>
<td><strong>FOR</strong></td>
<td><strong>RATE ($)</strong></td>
<td><strong>FEE ($)</strong></td>
</tr>
<tr>
<td>BASIC FEE (37 CFR 1.16(a), (b), or (c))</td>
<td>N/A</td>
<td>82</td>
</tr>
<tr>
<td>SEARCH FEE (37 CFR 1.16(b), (f), or (m))</td>
<td>N/A</td>
<td>270</td>
</tr>
<tr>
<td>EXAMINATION FEE (37 CFR 1.16(c), (p), or (q))</td>
<td>N/A</td>
<td>110</td>
</tr>
<tr>
<td>TOTAL CLAIMS (37 CFR 1.16(j))</td>
<td>10 minus 20 =</td>
<td>x$26</td>
</tr>
<tr>
<td>INDEPENDENT CLAIMS (37 CFR 1.16(i))</td>
<td>3 minus 3 =</td>
<td>x$110</td>
</tr>
<tr>
<td>APPLICATION SIZE FEE (37 CFR 1.16(g))</td>
<td>If the specification and drawings exceed 100 sheets of paper, the application size fee due is $260 ($130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR</td>
<td></td>
</tr>
<tr>
<td>TOTAL</td>
<td>462</td>
<td></td>
</tr>
</tbody>
</table>

* If the difference in column 1 is less than zero, enter "0" in column 2.

## APPLICATION AS AMENDED – PART II

<table>
<thead>
<tr>
<th>AMENDMENT A</th>
<th>SMALL ENTITY</th>
<th>OTHER THAN SMALL ENTITY</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Column 1)</td>
<td>(Column 2)</td>
<td>(Column 3)</td>
</tr>
<tr>
<td><strong>CLAIMS REMAINING AFTER AMENDMENT</strong></td>
<td><strong>HIGHEST NUMBER PREVIOUSLY PAID FOR</strong></td>
<td><strong>PRESENT EXTRA</strong></td>
</tr>
<tr>
<td>Total (37 CFR 1.16(i))</td>
<td>* Minus **</td>
<td>=</td>
</tr>
<tr>
<td>Independent (37 CFR 1.16(ii))</td>
<td>* Minus ***</td>
<td>=</td>
</tr>
<tr>
<td>Application Size Fee (37 CFR 1.16(g))</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>TOTAL ADD'T FEE</strong></td>
<td>N/A</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>AMENDMENT B</th>
<th>SMALL ENTITY</th>
<th>OTHER THAN SMALL ENTITY</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Column 1)</td>
<td>(Column 2)</td>
<td>(Column 3)</td>
</tr>
<tr>
<td><strong>CLAIMS REMAINING AFTER AMENDMENT</strong></td>
<td><strong>HIGHEST NUMBER PREVIOUSLY PAID FOR</strong></td>
<td><strong>PRESENT EXTRA</strong></td>
</tr>
<tr>
<td>Total (37 CFR 1.16(i))</td>
<td>* Minus **</td>
<td>=</td>
</tr>
<tr>
<td>Independent (37 CFR 1.16(ii))</td>
<td>* Minus ***</td>
<td>=</td>
</tr>
<tr>
<td>Application Size Fee (37 CFR 1.16(g))</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>TOTAL ADD'T FEE</strong></td>
<td>N/A</td>
<td></td>
</tr>
</tbody>
</table>

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
** If the "Highest Number Previously Paid For" in this space is less than 20, enter "20".
*** If the "Highest Number Previously Paid For" in this space is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.